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Transmitted herewith for filing is the Patent Application of:

Inventor: Ernst-Michael Hamann et al

For: METHOD FOR CREATING & READING NEW CERTIFICATE

TYPES FOR CERTIFICATION OF KEYS

Enclosed are:

\_x\_ \_6\_\_\_ sheets of drawings

\_\_\_ An assignment of the invention to International Business Machines Corporation w/assignment transmittal sheet

\_X\_ A certified copy of a European application

\_\_\_ An associate power of attorney

A fully executed Declaration

\_\_\_ A Form PTO-1449 and Prior Art

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Respectfully submitted,

Telephone: (914) 462-4763 Fax:

(914) 432-9601

James E. Murray - Attorney

Registration Number: 20,915

#### Atty. Docket No. GE9-99-008

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ernst-Michael Hamann et al

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For: Method for Creating & Reading New Certificate

Types for Certification of Keys

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## Method for Creating and Reading a New Certificate Types for Certification of Keys

### Related Patent Applications

U.S. Patent Application, Serial # 09/109,578 filed on July 2, 1998 and entitled "Inter Operability of Key Distribution Services", the contents of which application is hereby incorporated by reference.

U.S. Patent Application, Serial #09/274,525, filed on March 23, 1999 and entitled "Secure Hash-And-Sign Signatures", the contents of which application is hereby incorporated by reference.

### Field of the Invention

The present invention relates to a method for creating a new certificate, the storage of that certificate on storage media (in particular on chipcards) and the reading of the certificate.

#### Background of the Invention

The idea underlying the use of the new certificate is the one-time, centralized authentication of a user or a service by an institution created for that purpose, termed a certification body. If the requirements of the certification body for successful identity verification are met, the certification body appends its own electronic signature to the public key of the identified person or service. The advantage for the subscribers to a public network lies in the fact that they only need to trust GE 999 008

the signature of the certification body, and in this way can be sure of the authenticity of the presented public key.

The certificate consists of two parts. The first part, for example, contains data elements relating to the key, the issuer of the certificate, the user, the signature algorithm, the serial number, etc. The second part of the certificate contains a digital signature generated using the first part of the certificate. A digital signature basically establishes the authenticity of electronically transmitted messages or electronic documents. In the process of generating a digital signature a HASH algorithm is used to form a HASH value from the first part of the certificate. The HASH algorithm compresses the data of the first part of the certificate. The HASH value is decrypted with a crypto algorithm. Decryption is based on the private key of a key pair.

A series of cryptographic keys are issued to a person or an institution for various purposes. These purposes include secure network communication, e.g.

- digital signature with legal recognition
- encryption of a document key
- verification of a user of an application based on a digital signature.

The possibilities for use of a key are defined in a single certificate which is digitally signed by the certification body.

At present, each digital key issued to a person or institution must be assigned a certificate. The certificates enable communication partners to verify the legitimate use of a key.

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Each such certificate requires approximately 800 to 4000 bytes of data, including the certification body's digital signature. If, for example, three keys are to be stored on one chipcard in certified form, 2400 to 12000 bytes of space are required for the certificates. Fig. 1 shows the conventional storage of keys on a chipcard. For each key (1-3) a certificate is issued and stored on the chipcard. It is not possible to issue more than one key by means of one certificate and store it on the chipcard.

The issue of individual certificates for each key used means more memory is required on the keyholder's storage media. Furthermore, each certificate must be transmitted to the various communication partners of the keyholder and stored by them on their systems. The certificates also need to be stored on the various X.500 servers in the network and within the certification body in publicly accessible certificate lists. Which data fields may be redundant in several certificates is shown in Fig. 2.

The fact that one certificate is required per key results in an increased communication demand per transaction and increased memory requirement at all the communication partners. When the certificate expires, applications are made for new separate certificates for all keys, and the certificates are issued by the certification body.

It is therefore the object of the present invention to deliver a new form of the certificate which can be transmitted fast to the various communication partners and results in reduced memory requirement on the storage media.

#### Brief Description of the Invention

In accordance with the present invention, a new certificate type is provided. With the new certificate type, several certificates, containing a minimum quantity of redundant data fields, are collated to form one certificate and all redundant information on the certificates is eliminated. An embodiment of the new certificate type is the group certificate. The group certificate is particularly suitable where several keys are to be issued at the same time for the same user by the same certification instance. By means of the group certificate, all redundant data elements are eliminated and all data elements for a set of several keys subject to certification are grouped into one certificate. This substantially reduces the memory requirement, and handling of the certificates is simplified for the communication partners. A further embodiment of the new certificate type is the basic and supplementary certificate combination. This form of certification is suitable where certificates are issued at different times for the same user by the same certification body. The memory requirement is consequently somewhat more than for group certificates, but greater flexibility is gained in use of the keys.

#### Brief Description of the Drawings

The present invention is described in more detail on the basis of preferred embodiments in conjunction with drawings, wherein

- Fig. 1 shows the conventional certification of keys according to the state of the art.
- Fig. 2 shows the fundamental principle of the invention based on the certification as shown in Fig. 1.

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- Fig. 3 shows the group certificates for the keys in accordance with the invention according to Fig. 1.
- Fig. 4 shows the structure of the basic and supplementary certificates in accordance with the invention.
- Fig. 5 shows the method of creating the group, basic and supplementary certificates in accordance with the invention.
- Fig. 6 shows the method of reading the group, basic and supplementary certificates in accordance with the invention.
- Fig. 7 is a block diagram of a computer system which the present invention is applicable.
- Fig. 8 is a block diagram of a network to which the present invention is applicable.

#### Detailed Description of the Invention

Fig. 1 presents the conventional certification of keys. A certificate is issued for each key. The certificate consists of two parts. The first part contains information (data elements) relating to the certification body (issuer of the certificate), the user of the certificate and the certified keys. These data elements include information relating to the key, the issuer of the certificate, the user, the signature algorithm, the serial number, etc. The second part contains a digital signature relating to the first part of the certificate. Table 1 describes

the possible components of the first part of a certificate based on an example.

Table 1		
Component	Byte	Description
1	0	Bit 7 : 0= private key
		1= Public key
		Bit $6-0$ : Key identification
2	1	Algorithm identification
3	2	Hash algorithm identification
4	3	Padding algorithm identification
5	4	Use of byte 0
6	5	Use of byte 1
7	7	Nominal key length in bits
8	9	Length of a data block
9	10	Length of a signature
10	11	Length of user information
11	12	User information
12	13	Length of key data
13	15	Key data

Component 1 of the certificate indicates whether the cryptographic key being certified is a public or private key. Component 1 of the first part of the certificate also contains a key identification. It specifies permitted applications of the cryptographic key contained in the certificate. If, after successful certification, the cryptographic key is to be used to execute a specific application, a request is made for this key identification and a check is made as to whether the certified key is usable for the specific application. Depending on the result of this request, the cryptographic key can then either be

used or an error message is generated.

With the aid of the following components 2, 3 and 4, algorithm identifications are specified. Component 2 indicates the asymmetric cryptographic methods for which the key being certified is suitable. In use of the certified key, a hash algorithm and/or a padding algorithm can be used, for example. This is defined with the aid of components 3 and 4.

With the aid of components 5 and 6 application areas of the cryptographic key can be defined. For example, component 5 can be used to determine that the cryptographic key may be used only to generate electronic signatures. Component 7 gives an indication in bits of the length of the cryptographic key to be certified with the certificate. With the aid of components 8, 9 and 10 block length data are transmitted as information for a user of the cryptographic key.

Component 11 delivers text information on the cryptographic key. This may, in particular, be instructions for use or security instructions for the user. Component 12 indicates the actual length of the cryptographic key to be certified. The key data are located in component 13.

When the first part of the certificate has been generated based on table 1, the process continues with creation of the second part of the certificate as presented in Fig. 1. To this end, an electronic signature of the first part of the certificate is generated. An electronic signature basically establishes the authenticity of electronically transmitted messages or electronic documents.

Fig. 2 shows the basic principle of the present invention. Several certificates, containing a minimum quantity of redundant information (data fields), are collated to form one certificate. The data fields framed in Fig. 2 mark the redundant data fields in the certificates being issued. In the present example the data fields: name of issuer, X.509 version, signature algorithm, issuer ID, user LD, user name and validity of the key are identical. The only differences are the respective certificate keys.

In this case the certificate keys 1-3 with the above-cited identical data fields are collated in one certificate where several keys are to be certified at the same time for the same user by the same certification body. Fig. 3 shows the result of this collation of identical and differing data fields (group certificate). This so-called group certificate is usually only issued where several keys are issued at the same time by the same certification body for the same user with identical validity periods. This is illustrated by the following example.

A legal entity applies for simultaneous issue of a certificate for several keys. After verification of the person a certificate (group certificate) is issued for all private keys for which application has been made. This certificate includes all keys and is signed by the certification body. All keys have a uniform period of validity. In comparison with a X.509 V 3 certificate (state of the art), only the additional information concerning the number of keys needs to be incorporated. This group certificate thus has the following data fields:

Name of issuer
Issuer ID
Name of user
User ID
Type/version of certificate
Number and types of keys
Public key
Serial number of the public key
Validity
Extensions
Digital signature of the certification body

If the same user applies for keys at different times from the same certification body, the method in accordance with the invention is executed such that the certification body issues to the user a basic certificate for all keys and a supplementary certificate for each key. The basic certificate contains all redundant data fields and the supplementary certificate all differing data fields. This is illustrated by the following example in conjunction with Fig. 4.

A user applies for a single certificate. The certification body issues a basic and a supplementary certificate to the user. The basic certificate contains the following data fields:

Name of issuer (certification body)
Issuer ID
Name of user
User ID
Type/version of the certificates
Serial number of the basic certificate

Digital signature of the basic certificate from the certification body

The supplementary certificate contains the following data fields: Signature algorithm

Public key

Serial number of the public key

Validity

Extensions

Serial number of the associated basic certificate
Digital signature of the supplementary certificate from the certification body

If the same user applies for an additional key, only an additional supplementary certificate is created for the key.

Fig. 5 shows the method of creating a certificate in accordance with the invention in the form of a flowchart.

- 1. The following information is provided for the preferentially menu-guided method:
- How many keys are to be certified
- Information on the user, e.g. user name, user ID
- Information on the certifying body, e.g. name of certification body, user ID of certification body
- 2. Based on the information obtained in step 1), the method checks whether more than one key is to be certified at one time.

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- 3. If the check in step 2) reveals that more than one key is to be certified at one time, the appropriate number of key pair are created.
- 4. When the key pairs have been created in step 3), a joint certificate (group certificate) is created to certify the keys. The certificate preferentially contains the following data fields:
- Name of certification body
- User ID of certification body
- Name of user
- User ID of user
- Type/version of the certificate
- Number and types of keys
- Key
- Validity
- Serial number
- Extensions
- 5. Then a digital signature for the certificate is created. The details of this method are presented in the section relating to Fig. 1.
- 6. The digital signature is appended to the certificate.
- 7. The certificate with the digital signature is transmitted to the desired storage medium, which may be a chipcard. On the chipcard the certificate with the digital signature is stored in the chipcard's non-volatile memory (EPROM).

- 8. However, if the check in step 2) reveals that at present only one key is to be certified, the method checks whether a basic certificate is already available.
- 9. If a basic certificate is already available, it is loaded and the serial number of the basic certificate if available is read to the system RAM.
- 10. A key pair (public/private key) is created.
- 11. A supplementary certificate preferentially containing the following data fields is created:
- Signature algorithm
- Key
- Serial number of key
- Validity period of the certificate
- Extensions
- Serial number of the basic certificate
- 12. Then the method of digital signature is executed for the supplementary certificate as described in step 5) and the digital signature is appended to the supplementary certificate.
- 13. If step 9 reveals that no basic certificate is available, the in method accordance with the invention first creates a basic certificate with the following data fields:
- Name of certification body
- User ID of certification body
- Name of user
- User ID of user
- Serial number of the basic certificate GE 999 008

- 14. Then a digital signature for the basic certificate is created and appended to the basic certificate.
- 15. Then a key pair is created.
- 16. Then a supplementary certificate with the following data fields is created:
- Signature algorithm
- Key
- Serial number of key
- Validity period of the certificate
- Extensions
- Serial number of the basic certificate
- 17. A digital signature for the supplementary certificate is created and appended to the supplementary certificate.
- Fig. 6 describes the method in accordance with the invention for reading the certificate created in Fig.5.
- It is to be assumed in the following that a specific application sends a request to the chipcard asking it to sign a message. To sign the message the chipcard requires a key. As presented in Fig. 5, the relevant keys are stored in the relevant supplementary certificates on the chipcard. The method in accordance with the invention first checks whether there are basic certificates stored on the chipcard. If there are no basic certificates stored on the chipcard, this means that there are no input certificates. In such cases the requested signature key can only be stored in a group certificate. The method searches

through the group certificates stored on the chipcard to locate a suitable signature key and loads the identified group certificate into the chipcard's RAM. The signature key can now be taken from the certificate and used to sign the message. If the method ascertains that there are also basic certificates stored on the chipcard, it first searches through all stored supplementary certificates for the presence of a suitable signature key for the application in question and loads the supplementary certificate with the suitable signature key into the chipcard RAM. The method reads from the supplementary certificate the serial number of the basic certificate, searches for the corresponding basic certificate and reads it too into the chipcard RAM. The chipcard RAM now contains the basic certificate and the associated supplementary certificate with the suitable signature key.

The present invention is capable of running on any properly configured general purpose computer system, such as the one shown in Figure 7. Such a computer system 700 includes a processing unit (CPU) 702 connected by a bus 701 to a random access memory 704, a high density storage device 708, a keyboard 706, a display 710, and a mouse 712. Also attached to the CPU 702 by the bus 701, are a scanner 714 for scanning documents 716 into the computer 700; and CD-ROM and magnetic disc drivers 718 and 720 for entry of information from optical and floppy magnetic disc mediums 722 and 724 containing the program code and data of the present invention. An example of such a computer is an IBM Personal Computer of the International Business Machines Corporation, with a 500 Mhz Pentium processor of Intel Corporation operating under Microsoft Windows 98 operating system of the Microsoft Corporation.

The computer 700 also contains a modem 726 for telecommunication of information 728 on the Internet and other networks. As shown in Figure 8, computers 700, like the one described above, are connected together in a network 800 by a server 802 that can be used to exchange information and one computer can access information contained in another. The database search engine and the checking and updating software, may be permanently located on all computers of the network, or can be on one computer, say computer 7, and transmitted through the medium of electromagnetic signals from that one computer to the other computers on the network when it is to be accessed and modified.

The advantages of the present invention lie in the fact that by the creation of a group certificate, and of basic and supplementary certificates, the size of the certificates can be decisively reduced and handling of the certificates by the holders and their communication partners is simplified. The group certificates with three keys save 1200 to 10000 bytes of memory space for the certificates.

For the basic and supplementary certificates additional shorter supplementary certificates (key certificates) per key are issued in addition to the basic certificate. This means somewhat more space is taken up than by the group certificates, but greater flexibility is provided in use of the keys.

It should be clear to those skilled in the art that a number of changes can be made in what has been disclosed without departing from the invention. Therefore, it should be understood that the present invention is not limited to the disclosed embodiment but includes within its scope those embodiments encompassed by the spirit and scope of the appended claims.

#### **CLAIMS**

1. A method of creating a certificate to certify a key, wherein the certificate comprises a defined number of data elements which at least contain information on the certification body (issuer of the certificate), the user of the certificate and the key certified by the certificate,

characterized by the following steps:

- a) Specification of a request for certification of one of the several keys by a certification body for a user.
- b) If in step a) only one key is to be certified, and no basic certificate is yet available for the user, creation of a basic certificate for the user with a defined number of data elements which, in the certification process, are identical for the respective user in conjunction with the respective certification body.
- c) Addition of an identifying characteristic to the basic certificate.
- d) Generation of a digital signature for the basic certificate.
- e) Addition of the digital signature to the basic certificate.
- f) Generation of a key pair.

- g) Creation of a supplementary certificate for the basic certificate with a key as set out in step f), the identifying characteristic as set out in step c) and additional data fields not registered by the basic certificate.
- h) Generation of a digital signature for the supplementary certificate.
- i) Addition of the digital signature to the supplementary certificate.
- 2. The method in accordance with Claim 1, characterized in that the basic certificate comprises the following data elements:
  - Name of certification body
  - User ID of certification body
  - Name of user
  - User ID of user
  - Identifying characteristic of the basic certificate
- 3. The method in accordance with Claim 1, characterized in that the supplementary certificate comprises the following data elements:
  - Signature algorithm
  - Key
  - Serial number of key
  - Validity period of the certificate
  - Extensions
  - Identifying characteristic of the basic certificate

- 4. The method in accordance with Claim 1, characterized in that if step a) reveals that more than one key with the same validity period is to be certified at one time, instead of steps b) i) the following steps are executed:
  - aa) Generation of several key pairs.
  - bb) Generation of a certificate (group certificate) for several keys with all data elements necessary for the individual keys and keys generated in step aa), omitting the redundant data elements.
  - cc) Generation of a digital signature for the certificate.
  - dd) Addition of the digital signature to the certificate.
- 5. The method in accordance with Claim 4, characterized in that the certificate contains the following data elements:
  - Name of certification body
  - User ID of certification body
  - Name of user
  - User ID of user
  - Type/version of the certificate
  - Number and types of keys
  - Key
  - Validity
  - Serial number
  - Extensions

- 6. The method in accordance with Claim 1 characterized in that, if only one key is to be certified in step a) and a basic certificate already exists, instead of steps b) i) the following steps are executed:
  - aa) Definition of the basic certificate and reading of the identifying characteristics of the basic certificate.
  - bb) Generation of a key pair.
  - cc) Creation of a supplementary certificate for the basic certificate with additional data fields not registered by the basic certificate, wherein one of the keys is inserted into the supplementary certificate in step bb).
  - dd) Insertion of the identifying characteristics in accordance with step aa) into the supplementary certificate to locate the associated basic certificate.
  - ee) Generation of a digital signature for the supplementary certificate.
  - ff) Addition of the digital signature to the supplementary certificate.

- 7. The method in accordance with Claim 6, characterized in that the supplementary certificate contains the following data elements:
  - Signature algorithm
  - Key
  - Serial number of key
  - Validity period of the certificate
  - Extensions
  - Identifying characteristic of the basic certificate
- 8. The method for creating a certificate for simultaneous certification of several keys with the same validity period, wherein the certificate comprises a defined number of data elements which at least contain information on the certification body (issuer of the certificate), the user of the certificate and the key certified by the certificate, characterized by the following steps:
  - aa) Generation of several key pairs.
  - bb) Generation of a joint certificate (group certificate) for several keys with all data elements necessary for the individual keys and keys generated in step aa), omitting the redundant data elements.
  - cc) Generation of a digital signature for the group certificate.
  - dd) Addition of the digital signature to the group certificate.

- 9. The method in accordance with Claim 8, characterized in that the group certificate contains the following data elements:
  - Name of certification body
  - User ID of certification body
  - Name of user
  - User ID of user
  - Type/version of the certificate
  - Number and types of keys
  - Key
  - Validity
  - Serial number
  - Extensions
- 10. A method for creating a certificate for certification of a new key for a user, wherein the certificate comprises a defined number of data elements which at least contain information on the certification body (issuer of the certificate), the user of the certificate and the key certified by the certificate, wherein a basic certificate for the user already exists and the basic certificate comprises data elements which, in the certification process, are identical for the respective user in conjunction with the respective certification body, characterized by the following steps:
  - aa) Definition of the basic certificate for the user and reading of the identifying characteristics of the basic certificate.
  - bb) Generation of a key pair.

- cc) Creation of a supplementary certificate for the basic certificate with additional data fields not registered by the basic certificate, wherein one of the keys is inserted into the supplementary certificate in step bb).
- dd) Insertion of the identifying characteristics in accordance with step aa) into the supplementary certificate to locate the associated basic certificate.
- ee) Generation of a digital signature for the supplementary certificate.
- ff) Addition of the digital signature to the supplementary certificate.
- 11. The method in accordance with Claim 10, characterized in that the supplementary certificate contains the following data elements:
  - Signature algorithm
  - Key
  - Serial number of key
  - Validity period of the certificate
  - Extensions
  - Identifying characteristic of the basic certificate
- 12. The method in accordance with Claim 8, characterized in that the key is a public key.
- 13. The method in accordance with Claim 1, characterized in that the basic certificate and the supplementary certificate are stored in the non-volatile memory of a chipcard.

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- 14. The method in accordance with Claim 4, characterized in that the certificate (group certificate) is stored in the non-volatile memory of a chipcard.
- 15. The method for reading certificates created in accordance with Claim 1, characterized by the following steps:
  - a) Check of the storage medium for presence of basic certificates.
  - b) If present, identification of the necessary supplementary certificate.
  - c) Read-in of the supplementary certificate to the RAM of a system.
  - d) Definition of the identification number of the basic certificate from the supplementary certificate.
  - e) Read-in of the basic certificate to the RAM.
- 16. The method in accordance with Claim 15, characterized in that, if no basic certificate could be identified in step a), instead of steps b) e) the following steps are executed:
  - f) Check of the storage medium for presence of group certificates.
  - g) Read-in of the necessary group certificates to the RAM.

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- 17. The method for reading of certificates created in accordance with Claim 10, characterized by the following steps:
  - a) Check of the storage medium for presence of group certificates.
  - b) Read-in of the necessary group certificate to the RAM.
- 18. The method in accordance with Claim 17, characterized in that the storage medium is a non-volatile memory of the chipcard.
- 19. A computer program product on a computer usable medium for creating a certificate to certify a key, wherein the certificate comprises a defined number of data elements which at least contain information on the certification body (issuer to the certificate), the user of the certificate and the key certified by the certificate, said computer program product comprising:
  - a) software for specification of a request for certification of one of the several keys by a certification body for a user;
  - b) software for creation of a basic certificate for the user with a defined number of data elements which, in the certification process, are identical for the respective user in conjunction with the respective certification body when only one key is to be certified, and no basic certificate is yet available for the user;

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- c) software for the addition of an identifying characteristic to the basic certificate;
- d) software for the generation of a digital signature for the basic certificate;
- e) software for the addition of the digital signature to the basic certificate;
- f) software for generation of a key pair;
- g) software for creation of a supplementary certificate for the basic certificate with a key as set out in f), the identifying characteristic as set out in c) and additional data fields not registered by the basic certificate;
- h) software for generation of a digital signature for the supplementary certificate; and
- i) software for addition of the digital signature to the supplementary certificate.
- 20. The computer program product in accordance with Claim 19, characerized in that the basic certificate comprises the following data elements:
  - Name of certification body
  - User ID of certification body
  - Name of user
  - User ID of user
  - Identifying characteristic of the basic certificate.

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- 21. The computer program product in accordance with Claim 19, characterized in that the supplementary certificate comprises the following data elements:
  - Signature algorithm
  - Key
  - Serial number of key
  - Validity period of the certificate
  - Extensions
  - Identifying characteristic of the basic certificate.
- 22. The computer program product in accordance with Claim 19, characterized in that if more than one key with the same validity period is to be certified at one time, the following software replaces the software of b) to i);
  - aa) software for generation of several key pairs;
  - bb) software for generation of a certificate (group certificate) for several keys with all data elements necessary for the individual keys and keys generated in step aa), omitting the redundant data elements;
  - cc) software for generation of a digital signature for the certificate; and
  - dd) software for addition of the digital signature to the certificate.

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- 23. The computer program product software in accordance with Claim 22, characterized in that the certificate contains the following data elements:
  - Name of certification body
  - User ID of certification body
  - Name of user
  - User ID of user
  - Type/version of the certificate
  - Number and types of keys
  - Key
  - Validity
  - Serial Number
  - Extensions.
- 24. The computer program product in accordance with Claim 19, characterized in that, if only one key is to be certified and a basic certificate already exists, the following software replaces the software of b) to i):
  - aa) software code definition of the basic certificate and reading of the identifying characteristics of the basic certificate;
  - bb) software code for generation of a key pair;
  - cc) software code for creation of a supplementary certificate for the basic certificate with additional data fields not registered by the basic certificate, wherein one of the keys is inserted into the supplementary certificate by step bb);

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- dd) software code insertion of the identifying characteristics in accordance with step aa) into the supplementary certificate to locate the associated basic certificate;
- ee) software code generation of a digital signature for the supplementary certificate; and
- ff) software code addition of the digital signature to the supplementary certificate.
- 25. The computer program product in accordance with Claim 24, characterized in that the supplementary certificate contains the following data elements:
  - Signature algorithm
  - Key
  - Serial number of key
  - Validity period of the certificate
  - Extensions
  - Identifying characteristic of the basic certificate.

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## Method for Creating and Reading a New Certificate Types for Certification of Keys

#### **ABSTRACT**

A method for creating, storing and reading a new certificate type for certification of keys is provided. In the new certificate type, several certificates, containing a minimum quantity of redundant data fields, are collated to form one certificate and all redundant information on the certificates is eliminated. An embodiment of the new certificate type is the group certificate. The group certificate is used where several keys are to be issued at the same time for the same user by the same certification instance. By means of the group certificate, all redundant data elements are eliminated and all data elements for a set of several keys subject to certification are grouped into one certificate. This substantially reduces the memory requirement, and handling of the certificates is simplified for the communication partners. A further embodiment of the new certificate type is the basic and supplementary certificate combination. This form of certification is used where certificates are issued at different times for the same user by the same certification body. The memory requirement is consequently somewhat more than for group certificates, but greater flexibility is gained in use of the keys.

Name of issuer #1
X.509 version #1
Signature algorithm #1
Issuer ID #1
User ID #1
Name of user #1
Serial number #1
Public key #1
Validity #1
Digital signature #1
Extensions #1

Name of issuer #2
X.509 version #2
Signature algorithm #2
Issuer ID #2
User ID #2
Name of user #2
Serial number #2
Public key #2
Validity #2
Digital signature #2
Extensions #2

Name of issuer #3
X.509 version #3
Signature algorithm #3
Issuer ID #3
User ID #3
Name of user #3
Serial number #3
Public key #3
Validity #3
Digital signature #3
Extensions #3

# FIG. 1

Name of issuer X.509 version Signature algorithm Issuer ID User ID Name of user Validity #1	Name of issuer X.509 version Signature algorithm Issuer ID User ID Name of user Validity #2	Name of issuer X.509 version Signature algorithm Issuer ID User ID Name of user Validity #3
Public key #1 Validity #1 Digital signature #1 Extensions #1	Public key #2 Validity #2 Digital signature #2 Extensions #2	Public key #3 Validity #3 Digital signature #3 Extensions #3

FIG. 2

Name of issuer
X.509 V.3
Signature algorithm
Issuer ID
User ID
Name of user
Serial number
Number and types of keys
Public key #1
Public key #2
Public key #3
Validity
Digital signature
Extensions

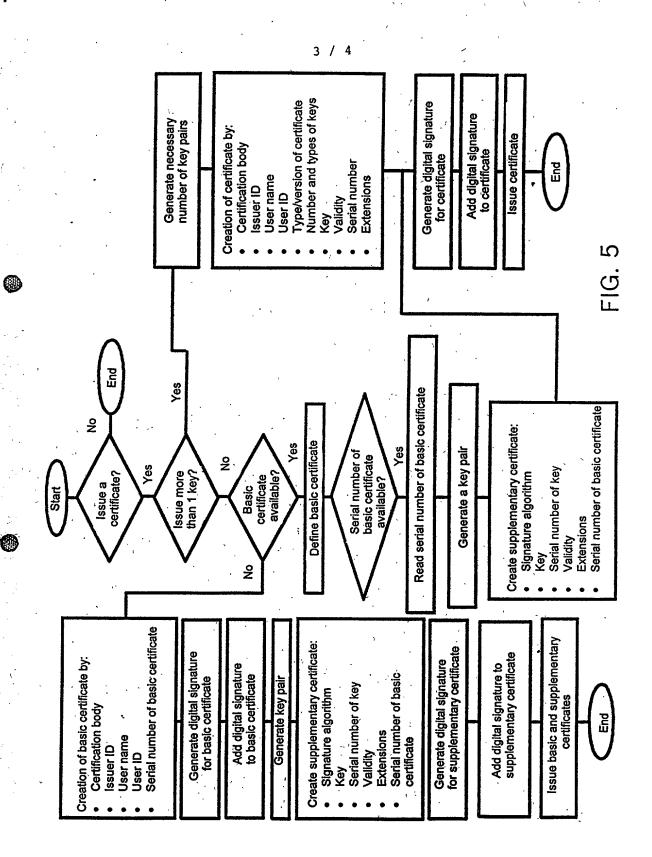
## FIG. 3

Name of issuer
Issuer ID
Name of user
User ID
X.509 V.3
Serial number of basic certificate
Digital signature

Signature algorithm #1
Public key #1
Serial number of public key #1
Validity #1
Extensions #1
Digital signature #1
Serial number of basic certificate

Signature algorithm #2
Public key #2
Serial number of public key #2
Validity #2
Extensions #2
Digital signature #2
Serial number of basic certificate

Signature algorithm #3
Public key #3
Serial number of public key #3
Validity #3
Extensions #3
Digital signature #3
Serial number of basic certificate



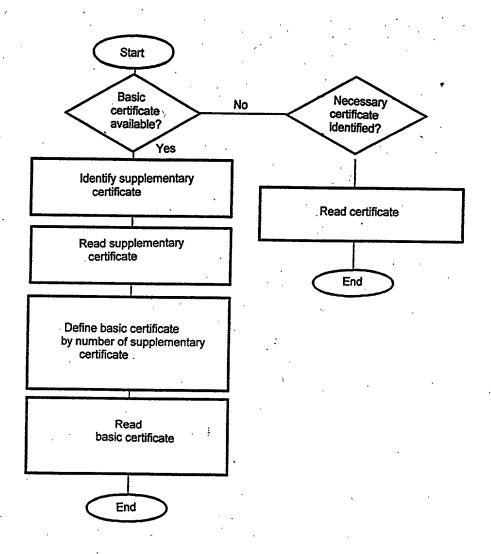
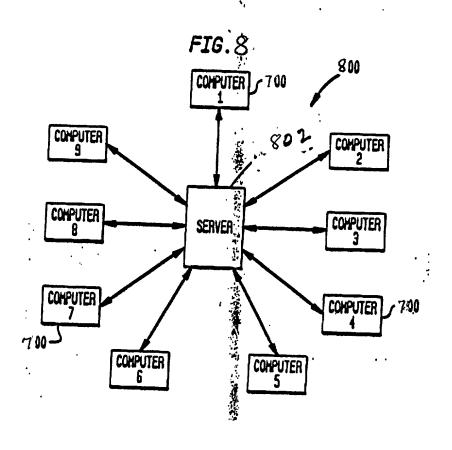


FIG. 6

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## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, we hereby declare that:

the specification of which (check one)

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD FOR CREATING & READING NEW CERTIFICATE TYPES FOR CERTIFICATION OF KEYS

	or PCT Inter		United States Application Nu Number	nber
includi the U.S	no claims, a	s amended by any amer Trademark Office all	dment referred to above. I	the above identified specification, acknowledge the duty to disclose to be material to patentability as
§ 365(l International below a International	o) of any fore ational applicand have also ational applic	eign application(s) f cation which designat identified below any	ed at least one country oth foreign application for pa	d) or tificate, or \$365(a) of any PCT er than the United States, listed tent or inventor's certificate, or PCT pplication on which priority is
claime	1.			Priority Claimed
99 101 (Number		BUROPE (GERMANY) (Country)	29 JANUARY 1999 (Day/Month/Year Filed)	<u>X</u> Yes <u> </u> No
Number		(Country)	(Day/Month/Year Filed)	Yes No
	by claim the below.	benefit under 35 U.S		States provisional application(s)
(Appli	cation Number	}	(Filing Date)	
(Appli	cation Number	)	(Filing Date)	·····
PCT In matter Intern	ternational a of each of tational applity to disclosed ate of the	pplication designati he claims of this ap cation in the manner	ng the United States, lister plication is not disclosed : provided by the first parag on as defined in 37 CFR § 1	es application(s), or § 365(c) of any d below and, insofar as the subject in the prior United States or PCT graph of 35 U.S.C. § 112, I acknowledge .56(a) which occurred between the national filing date of this
(Appl.	Serial No.)	(Filing Date)	(Status)(patents	ed, pending, abandoned)
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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Manny W. Schecter(Reg. 31,722); Terry Ilardi(Reg. 29,936); Christopher R. Hughes(Reg 26,914); Edward A. Pennington(Reg. 32,588); John E. Hoel(Reg. 26,279); Joseph C. Redmond, Jr.(Reg. 18,753); James B. Murray(Reg. 20,915); Wayne L. Ellenbogen(Reg. 43,602); Stephen C. Kaufman(Reg. 29,551); Jay P. Sbrollini(Reg. 36,266); David M. Shofi(Reg. 39,835); Robert M. Trepp(Reg. 25,933); Louis P. Herzberg(Reg. 41,500); Douglas W. Cameron(Reg. 31,596); Paul Otterstedt(Reg. 37,411); Louis J. Percello(Reg. 33,206); Daniel P. Morris(Reg. 32,053).

Send Correspondence to: James B. Murray

69 South Gate Drive, Poughkeepsie, N.Y. 12601

Telephone: (914) 462-4763

Post Office Address: Same as above

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

sidence: SCHILTACHER STR. 4, D-71034 BOEBLINGEN, FEDERAL REPUBLIC OF	
	GERMANY
tizenship: GERMAN	
st Office Address: Same as above	
ll Name of second joint inventor: JUTTA KRKYSS	
gnature:	Date:
sidence: KNOSPSTR. 7, D-70178 STUTTGART, FEDERAL REPUBLIC OF GERMAN	Y
tizenship: GERMAN	
st Office Address: Same as above	
all name of sole or third inventor: NARAYANAN VASUDEVAN	
gnature:	Date:
sidence: 11256 GREEN WATCH WAY, GAITHERSBURG, MARYLAND 20878	